

1054
U.S.
PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
13768.233Total Pages in this Submission
4TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:

VOICE AND CIRCUMSTANCE-DEPENDENT NOTIFICATION

and invented by:

Shawn Domenic Loveland and Jorg-Thomas Pfenning

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:
 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Which is a:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Which is a:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. Filing fee as calculated and transmitted as described below

2. Specification having 30 pages and including the following:
 - a. Descriptive Title of the Invention
 - b. Cross References to Related Applications (*if applicable*)
 - c. Statement Regarding Federally-sponsored Research/Development (*if applicable*)
 - d. Reference to Microfiche Appendix (*if applicable*)
 - e. Background of the Invention
 - f. Brief Summary of the Invention
 - g. Brief Description of the Drawings (*if drawings filed*)
 - h. Detailed Description
 - i. Claim(s) as Classified Below
 - j. Abstract of the Disclosure

100-966685
09/28/04

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13768.233

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4

Application Elements (Continued)

3. Drawing(s) (when necessary as prescribed by 35 USC 113)
a. Formal Number of Sheets 3
b. Informal Number of Sheets _____

4. Oath or Declaration
a. Newly executed (original or copy) Unexecuted
b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
c. With Power of Attorney Without Power of Attorney
d. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

6. Computer Program in Microfiche (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
a. Paper Copy
b. Computer Readable Copy (identical to computer copy)
c. Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. Assignment Papers (cover sheet & document(s))

9. 37 CFR 3.73(B) Statement (when there is an assignee)

10. English Translation Document (if applicable)

11. Information Disclosure Statement/PTO-1449 Copies of IDS Citations

12. Preliminary Amendment

13. Acknowledgment postcard

14. Certificate of Mailing

First Class Express Mail (Specify Label No.): EL 825 833 571 US

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13768.233

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Accompanying Application Parts (Continued)

15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

16. Additional Enclosures (*please identify below*):

PTO Form 2038 in the amount of \$1,054.00

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

17. Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

13768.233

Total Pages in this Submission

4

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	28	- 20 =	8	x \$18.00	\$144.00
Indep. Claims	5	- 3 =	2	x \$80.00	\$160.00
Multiple Dependent Claims (check if applicable)	<input type="checkbox"/>				\$0.00
				BASIC FEE	\$710.00
OTHER FEE (specify purpose)		Assignment Recordation Fee			\$40.00
				TOTAL FILING FEE	\$1,054.00

PTO 2038 Credit Card Payment

Form in the amount of **\$1,054.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. **23-3178**

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- Charge the amount of as filing fee.
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- Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Adrian J. Lee
 Attorney for Applicant
 Registration No. 42,785

Dated: September 28, 2001

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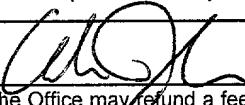
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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Shawn Domenic Loveland and Jorg-Thomas Pfenning**

Docket No.

13768.233Serial No.
Not Yet AssignedFiling Date
September 28, 2001Examiner
Not Yet AssignedGroup Art Unit
Not Yet Assigned

Invention:

VOICE AND CIRCUMSTANCE-DEPENDENT NOTIFICATION

1C879 U.S. PRO
109/166685
09/28/01

I hereby certify that this **correspondence listed below***(Identify type of correspondence)*is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on **September 28, 2001**
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Ilona D. Craske*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EL 825 833 571 US***("Express Mail" Mailing Label Number)*SEARCHED SERIALIZED INDEXED FILED

Utility Patent Application Transmittal Letter (Large Entity)
in triplicate (4 pages)
Form PTO-2038 in the amount of \$1,054.00 (in triplicate) (1 page)
Patent application (30 pages)
Drawings (3 sheets)
Assignment with cover sheet (4 pages)
Declaration (2 pages)
IDS w/ PTO-1449 & 2 cited references (3 pages)
Certificate of Mailing by Express Mail Label **EL 825 833 571 US** (1 page)
Postcard